

**UBS AG**  
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8152 Opfikon  
Switzerland

OQ9C / O5GC-248  
INFO MGMT / HEDGE FUNDS / DEFAULT  
Stephan Gfeller

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**BY REGISTERED MAIL**

U.S. Bankruptcy Court for the  
Southern District of N.Y.  
One Bowling Green  
New York, N.Y. 10004  
USA

## Message

December 03, 2018

**subject Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555**

On behalf of                      Attention: Clerk of the Court  
you are receiving              Evidence of partial transfer of claim: Luzerner Kantonalbank AG

- ☐ for your information
- ☒ for your records
- ☐ as agreed
- ☐ please complete

- ☐ returned with thanks
- ☐ please comment
- ☐ please sign
- ☐

- ☐ please return
- ☒ please confirm receipt
- ☒ please process

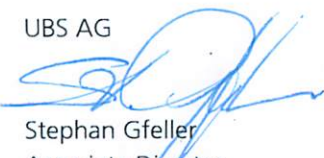
Remarks

TOCE224.1 CHF 50'000.00 CH0027121034 - LBS NV  
TOCE224.2 CHF 50'000.00 CH0036891361 - LBS NV

Transferor: Luzerner Kantonalbank AG / Claim Number(1): 58649.59 and Claim Number(2): 58649.59  
Transferee: UBS AG, Bahnhofstrasse 45, CH-8001 Zurich (Switzerland)

Yours sincerely,

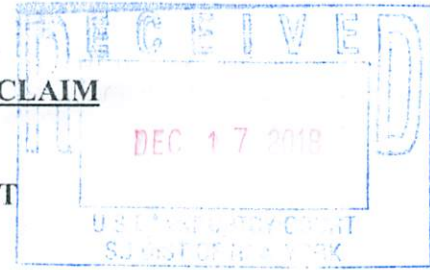
UBS AG

  
Stephan Gfeller  
Associate Director

Meine Bank



EVIDENCE OF TRANSFER OF CLAIM



TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Luzerner Kantonalbank AG, Pilatusstrasse 12, CH-6002 Luzern, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **UBS Switzerland AG, Bahnhofstrasse 45, 8001 Zuerich, Switzerland** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **58649.59**) in the amount of **USD 18'135.35** (Allowed Claim Amount) related to the securities with International Securities Identification Numbers listed on Schedule I hereto against **Lehman Brothers Holdings, Inc.** (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED THIS ~~11th day of June, 2018.~~ *4th day of December, 2018*

**Luzerner Kantonalbank AG**

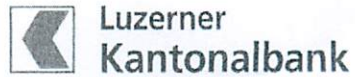
By:

Name of person signing: Peter Felder  
Title of person signing: Vice President

Josef Schuler  
Holder of Procuration

**TOCE224.1**

*Meine Bank*



SCHEDULE

Lehman Programs Securities Related to Transferred Portion of Claim:

USD 18'135.35 (Allowed Claim Amount), together with interest, fees, expenses and other recoveries due

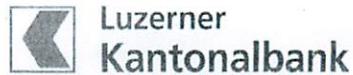
ISIN	Court Claim #	Date Claim Filed	Issuer	Principal Amount/Nominal Amount/ Amount of Claim/Units related to Security
CH 0027121034	58649.59	10/30/2009	Lehman Brothers <del>Treasury Co. B.V.</del> <i>Securities IV</i>	USD 18'135.35(Allowed Claim Amount) CHF 50'000.00 (Nominal Amount)

Blocking Number:

**TOCE 224.1**



*Meine Bank*



**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Luzerner Kantonalbank AG, Pilatusstrasse 12, CH-6002 Luzern, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **UBS Switzerland AG, Bahnhofstrasse 45, 8001 Zuerich, Switzerland** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **58649.59**) in the amount of **USD 31'484.56** (Allowed Claim Amount) related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED THIS ~~11th day of June, 2018.~~ *4th day of December, 2018*

**Luzerner Kantonalbank AG**

By:

A handwritten signature in blue ink, appearing to read 'Josef Schuler', written over a horizontal line.

Name of person signing: Peter Felder

Josef Schuler

Title of person signing: Vice President

Holder of Procuration

**TOCE224.2**

*Meine Bank*



SCHEDULE

Lehman Programs Securities Related to Transferred Portion of Claim:

USD 31'484.56 (Allowed Claim Amount), together with interest, fees, expenses and other recoveries due

ISIN	Court Claim #	Date Claim Filed	Issuer	Principal Amount/Nominal Amount/ Amount of Claim/Units related to Security
CH 0036891361	58649.59	10/30/2009	Lehman Brothers <del>Treasury Co. B.V.</del> <i>Securities III</i>	USD 31'484.56(Allowed Claim Amount) CHF 50'000.00 (Nominal Amount)

Blocking Number:

**TOCE224.2**